

DATA SUBJECT REQUEST PROCEDURE

1 Purpose

This document details the procedure to follow when a data subject requests access to their data. In terms of the Reunert Group Data Privacy Policy, we must respect the rights of data subjects to:

- access their personal information and know with whom we shared their personal information;
- correct or delete inaccurate, irrelevant, excessive, out of date, incomplete, misleading or illegally obtained information;
- withdraw consent; and
- object to the processing of their information when it is not necessary for the conclusion or performance of a contract or to comply with an obligation imposed by law.

2 Responsible Employees

The Information Officer is ultimately responsible for responding to all requests for access to personal information made in terms of the Protection of Personal Information Act (POPIA). However, the Information Officer may delegate this duty to a Deputy Information Officer.

3 Responding to a data subject's request to access their records and know with whom we shared their information

A data subject has the right to:

- request that the responsible party confirm, free of charge, whether it holds personal information about the data subject;
- request information about the identity of all third parties, or
- categories of third parties who have or have had access to the information; and
- the record of the personal information about the data subject, or
- a description of the personal information about the data subject held by the responsible party.

We must provide the information within a reasonable time (30 days), in a reasonable manner and format, and a generally understandable format. We may charge a fee for providing access.

Responding to a data subject request includes the following steps:

- I. Determine whether we are the responsible party

2. Review the access request
3. Confirm the identity of the data subject
4. Request more information if necessary
5. Determine what information we have
6. Consider whether we have valid grounds to refuse access
7. Determine the fee
8. Respond to the data subject

3.1 Determine whether we are the responsible party

The Information Officer must determine whether we are the responsible party¹ regarding the data subject's personal information². If we are the responsible party, we must follow this procedure.

If we are not the responsible party, the Information Officer must let the data subject know who the responsible party is.

3.2 Review the access request

The data subject must submit their request to the Information Officer at datarequest@terrafirmasolutions.com

If the data subject asked for a copy of their record, they must complete Form A: Request for access to a record. If the data subject did not complete Form A or did not complete it with sufficient information to allow us to consider the request, the Information Officer must notify the data subject and help them complete the form.

3.3 Confirm the identity of the data subject

The Information Officer must confirm the identity of the data subject before we process their request. We may ask for documentary proof that they have authority to act on behalf of their company, a copy of their ID or another form of identification.

3.4 Request more information if necessary

The Information Officer may request further information from the data subject to help us find the information to which the data subject requested access.

¹ The Responsible Party decides to collect personal Information, what personal Information to collect and why.

² Data subjects are clients, service providers, product suppliers etc.

3.5 Determine what information we have

The Information Officer must collate the relevant information, including the identity of third parties or categories of third parties, who have, or have had, access to the data subject's personal information.

If the data subject requested a copy of their record, we must locate the relevant record.

We must perform a search for personal information held in:

- e-mail;
- operating systems,
- SharePoint and other platforms;
- personal computers; and
- physical records.

The Information Officer must review the records and redact any information

- about data subjects other than the data subject requesting access, or
- for which we have a reason to refuse access, or
- which does not fall within the definition of personal information of the data subject.

3.6 Consider grounds for refusal to give access

We *may* refuse access to records in certain instances and *must* refuse access to records in others³.

Notwithstanding the possible grounds for refusal, we *must* grant the request for access to the record, if disclosure of the record would reveal

- evidence of a substantial contravention of or failure to comply with the law; or
- an imminent and serious public safety or environmental risk, and
- the public interest in disclosing the record clearly outweighs the harm to Terra Firma Solutions if we disclose the record.

3.7 Determine the fee

The Information Officer must determine the fee for complying with the request. We may charge the same fees as provided for in the Regulations to PAIA.

We must provide the data subject with a written estimate of the fee before the request is processed. In addition, we may require the data subject to pay a deposit for all or part of the fee.

³ Part 3 Chapter 4 (Grounds for refusal of access to records) of the Promotion of Access to Information Act (PAIA). The circumstances under which we may, may not, or must refuse access to records is contained in sections 62 to 70 of PAIA.

3.8 Respond to the data subject

The Information Officer must notify the data subject of our decision to either provide or refuse access to the information within 30 days. The notification can be sent by e-mail or in the format requested by the data subject.

If the data subject requested a copy of their record, we must communicate our decision using Form B: Outcome of request for access to a record, and fees payable.

The Information Officer may extend the period for responding to the request once for no more than an additional 30 days if:

- the request is for a large number of records or requires a search through a large number of records, and compliance with the original period would unreasonably interfere with our activities;
- we need to search for the records in, or collect them from, an office other than our head office, and we cannot reasonably complete that task within the original 30-day period;
- we need to, or should, consult among entities within the Reunert Group or with another private body to decide whether to grant the request, and we cannot reasonably do that within the original 30-day period; or
- the data subject consents to an extension in writing.

If we grant the data subject's request, the notice must state

- the access fee the data subject must pay;
- the format in which we will provide the information; and
- the data subject's right to correction of information in terms of section 24 of the POPIA.

If we refuse the request, the notice must state why, including any POPIA and PAIA provisions that we rely on, but without referencing the content of the record.

We must give the data subject access to the information in the format requested unless conversion of the information to that format

- will interfere with the effective administration of Terra Firma Solutions;
- will be detrimental to the preservation of the record; or
- will amount to an infringement of copyright that we do not own.

We must give the data subject access as soon as reasonably possible after the access fee has been paid.

4 Responding to a data subject's request to correct or delete information

A data subject may ask that the responsible party:

- correct or delete personal information about the data subject in its possession or under its control that is inaccurate, irrelevant, excessive, out of date, incomplete, misleading or obtained unlawfully; and
- destroy or delete a record of personal information about the data subject that it is no longer authorised to retain in terms of section 14 of the POPIA.

Responding to data subject requests for correction or deletion includes the following steps:

1. Determine whether we are the responsible party
2. Review Form D: Request for correction or deletion of personal information or destruction or deletion of a record of personal information
3. Confirm the identity of the data subject
4. Request more information if necessary
5. Respond to the data subject

4.1 Determine whether we are the responsible party

The Information Officer must determine whether we are the responsible party⁴ regarding the data subject's personal information⁵. If we are the responsible party, we must follow this procedure.

If we are not the responsible party, the Information Officer must let the data subject know who the responsible party is.

4.2 Review the request for correction or deletion of personal information

The data subject must use Form D: Request for correction or deletion of personal information or destruction or deletion of a record of personal information.⁶ If the data subject did not complete Form D or did not

⁴ The Responsible Party decides to collect personal Information, what personal Information to collect and what the purpose is for the collection of the personal Information.

⁵ Data subjects are clients, service providers, product suppliers etc.

⁶ Regulation 3 of POPIA.

complete it with sufficient information to consider the request, the Information Officer must notify the data subject and help them complete the form.

If the request is to correct information, and there is a self-service portal available where the data subject could correct their information themselves, the Information Officer should refer the data subject to the self-service portal.

4.3 Confirm the identity of the data subject

The Information Officer must confirm the identity of the data subject before we process their request. We may ask for documentary proof that they have authority to act on behalf of their company, a copy of their ID or another form of identification.

4.4 Request more information if necessary

The Information Officer may request further information from the data subject to help us find the information to which the data subject requested access.

4.5 Respond to the data subject

We must respond to the request as soon as reasonably practicable (within 60 days) as follows:

- correct the information;
- destroy or delete the information;
- if we will not comply with the request to correct, destroy or delete the information, provide the data subject with evidence in support of why we will not comply; or
- if we will not comply with the request and the data subject is not satisfied with our response, the data subject may ask that we attach an indication to the information, if reasonable in the circumstances, that a correction was requested but not made.

If we have taken steps that result in information being changed, and the changes affect decisions that have been or will be taken in respect of the data subject, we must, if reasonably practicable, inform each person or body or responsible party to whom we have disclosed the personal information of those steps. The Information Officer must let the data subject know of any action taken because of the request.

5 Respond to the data subject's request to withdraw consent

A data subject has the right to withdraw consent to process their personal information at any time.⁷

⁷ Section 11(2)(b) of POPIA.

We must give the data subject the ability to withdraw consent as easily as it was to give consent. If possible, data subjects must be able to withdraw their consent using the same method as when they gave it.

We bear the burden of proof for the data subject's consent. Therefore, it is vital that the following information is recorded and managed effectively:

- the information included in the consent request;
- who gave their consent;
- when they consented;
- how they consented; and
- whether the data subject has withdrawn consent, and if so, when.

6 Responding to a data subject's request to object to the processing of their information

A data subject may object to the processing of their personal information at any time, on reasonable grounds using Form C: Objecting to the processing of your personal information, unless we process their personal information to:

- carry out actions for the conclusion or performance of a contract with the data subject; or
- comply with a legal obligation.

A data subject may also object to the processing of their personal information for direct marketing by any communication channel.

If a data subject objects to the processing of their personal information, the Information Officer must consider the grounds for the request and notify the data subject of our decision to either:

- stop processing the personal information, or
- to deny the objection and continue processing the personal information and the reasons for this decision.

7 Associated Documents

FORM A: Request for access to a record

FORM B: Outcome of request for access to a record, and fees payable

FORM C: Objection to the processing of your personal information

FORM D: Request for correction or deletion of personal information or destruction or deletion of a record of personal information

Data Privacy Policy

8 Document Metadata

Document version:	1
Approval date:	5 August 2021
Document owner:	Ken Ross
Document author:	Estee van den Berg
Last updated:	15 September 2021
Next review date:	15 September 2022
Published:	https://www.terrafirma-solutions.com/wp-content/uploads/20210805_DSRProcedure_TFS.pdf

FORM A

Request for access to a record⁸

1. If the space provided in the form is inadequate, please submit additional information as an annexure to this form and sign each page.
2. We will process a request for access to a record other than a record containing your personal information after you have paid the request fee.
3. The fee depends on the form in which you need to access the record and the time we have to search for and prepare the record.
4. We will let you know in writing whether your request has been approved or denied, and if we approve your request, we will let you know what the related fees are.

To: The information officer

Address

E-mail address

Fax number

This request is in my own name

I'm making this request on behalf of someone else—[Please attach proof of the capacity in which you are making this request].

⁸ Regulation 7 of PAIA.

YOUR DETAILS

Name and surname

Identity number

Postal address

Residential address

E-mail address

Telephone number

Cell number

Fax number

HOW DO YOU PREFER TO BE CONTACTED?

Postal address

Residential address

E-mail address

Fax

DETAILS OF THE PERSON ON WHOSE BEHALF YOU ARE MAKING THIS REQUEST (IF APPLICABLE)

Name and surname

Identity number

Postal address

Residential address

E-mail address

Telephone number

Cell number

Fax number

WHICH RIGHT ARE YOU EXERCISING OR PROTECTING?

Which right are you exercising or protecting?

Explain why you need this record to exercise or protect that right.

DETAILS OF THE RECORD YOU ARE REQUESTING

Please provide full particulars of the record that you wish to access, including the reference number if you have it. This will help us find the record.

Describe the record or relevant part of the record

Reference number (if you have it)

Any further information about the record.

TYPE OF RECORD

Written or printed record	<input type="checkbox"/>
Visual images (including photographs, slides, video recordings, computer-generated images, sketches, etc.)	<input type="checkbox"/>
Recorded words or information that can be reproduced in sound	<input type="checkbox"/>

Held on a computer or in an electronic or machine-readable form	
---	--

FORMAT IN WHICH YOU WOULD LIKE TO RECEIVE THE RECORD

A printed copy of record (including copies of any virtual images, transcriptions and information held on a computer or in an electronic or machine-readable form.	
Written or printed transcription of virtual images (including photographs, slides, video recordings, computer-generated images, sketches, etc.)	
Transcription of soundtrack (written or printed)	
Copy of record on a flash drive (including virtual images and soundtracks)	
Copy of record on compact disc drive (including virtual images and soundtracks)	

HOW WOULD YOU LIKE TO ACCESS THE RECORD?

Personal inspection of the record at our registered address (including listening to recorded words, information which can be reproduced in sound, or information held on computer or in electronic or machine-readable form)	
Postal services to a postal address	
Postal services to a street address	
Fax information in written or printed format (including transcriptions)	
E-mail information (including soundtracks if possible)	
Preferred language	

(If the record is not available in the language you prefer, we may give you access in the language in which the record is available.)

Date _____ Signed at _____

Signature of the requester/person on behalf of whom the request is made

FOR OFFICE USE

Reference number	
Date received	
Access fees (if any)	
Deposit (if any)	

DETAILS OF THE PERSON WHO RECEIVED THE REQUEST

Job Title	
Name and surname of Information Officer	

Signature of Information Officer

FORM B

Outcome of request for access to a record, and fees payable⁹

1. If your request is granted
 - a. you must pay the deposit (if any) before we can process your request; and
 - b. we will only release the record you requested once we receive full payment.
2. Please note your reference number in all future correspondence.

To:

Address

E-mail address

Fax number

Reference number

YOUR REQUEST HAS BEEN

Approved

Denied

Because

--

⁹ Regulation 8 of PAIA.

YOU REQUESTED

Personal inspection of the record at our registered address (including listening to recorded words, information which can be reproduced in sound, or information held on computer or in electronic or machine-readable form)	
--	--

Please take these steps:

1. Make an appointment to inspect the record.
2. Bring this form with you.

Accessing a record this way is free. However, if you need copies of the information, you will be charged the appropriate fees as set out in Annexure B.

OR

YOU REQUESTED

A printed copy of record (including copies of any virtual images, transcriptions and information held on a computer or in an electronic or machine-readable form.	
---	--

Written or printed transcription of virtual images (including photographs, slides, video recordings, computer-generated images, sketches, etc.)	
---	--

Transcription of soundtrack (written or printed)	
--	--

Copy of record on a flash drive (including virtual images and soundtracks)	
--	--

Copy of record on compact disc drive (including virtual images and soundtracks)	
---	--

YOU ASKED TO ACCESS THE RECORD AS FOLLOWS

Postal services to a postal address	
-------------------------------------	--

Postal services to a street address	
-------------------------------------	--

Fax information in written or printed format (including transcriptions)	
---	--

E-mail information (including soundtracks if possible)	
--	--

Preferred language	
--------------------	--

FEES PAYABLE WITH REGARDS TO YOUR REQUEST

Item	Cost per A4-size page or part thereof/item	Number of pages/items	Total
Copy of Guide	R0,00		
Photocopy	R0,00		
Printed copy	R0,00		
Copy in a computer-readable form on:			
a) Flash drive	a) R0.00		
b) Compact disc	b) R0,00		
Transcription of visual images	Service to be outsourced. Will depend on quotation from Service provider.		
Copy of visual images			
Transcription of an audio record			
Copy of an audio record	R0,00		
Postage	Actual cost		
TOTAL:			

DEPOSIT PAYABLE

If the search exceeds six hours.

How many hours did the search take?	
What is the deposit payable? (Calculated as a third of the total amount per request)	

OUR BANK DETAILS

Bank	
Account holder	
Type of account	
Account number	
Branch code	
Reference number	
Proof of payment address	

Date _____

Signed at _____

Information Regulator/Information Officer

FORM C

Objecting to the processing of your personal information¹⁰

1. You may attach affidavits and other evidence to support your objection.
2. If the space provided in the form is inadequate, please submit additional information as an annexure to this form and sign each page.

DETAILS OF THE DATA SUBJECT

Name and surname/Registered name
Identity number/Registration number
Address (residential, postal, or business)
Contact number(s)
E-mail address/Fax number

DETAILS OF THE RESPONSIBLE PARTY

Name and surname/Registered name
Address (residential, postal, or business)
Contact number(s)
E-mail address/Fax number

REASONS FOR OBJECTING TO THE PROCESSING OF YOUR PERSONAL INFORMATION¹¹

--

¹⁰ Objection to the Processing of Personal Information in terms of Section 11(3) of the Protection of Personal Information Act, 2013 (Act No. 4 of 2013). Regulations relating to the Protection of Personal Information, 2018 (Regulation 2)

¹¹ In terms of Section 11(1)(d) to (f)



Date _____

Signed at _____

Signature of the data subject or designated person

FORM D

FORM D: Request for correction or deletion of personal information or destruction or deletion of a record of personal information¹²

1. You may attach affidavits and other evidence to support your objection.
2. If the space provided in the form is inadequate, please submit additional information as an annexure to this form and sign each page.

What would you like us to do?

- Correct personal information we have about you.
- Delete personal information we have about you.
- Destroy or delete a record of personal information about you that we are no longer authorised to retain.

DETAILS OF THE DATA SUBJECT

Name and surname/Registered name

Identity number/Registration number

Address (residential, postal, or business)

Contact number(s)

E-mail address/Fax number

DETAILS OF THE RESPONSIBLE PARTY

¹² Request for Correction or Deletion of Personal Information or Destroying or Deletion of Record of Personal Information in Terms of Section 24(1) of the Protection of Personal Information Act, 2013, (Act No. 4 of 2013). Regulations Relating to the Protection of Personal Information, 2018 (Regulation 3)

Name and surname/Registered name

Address (residential, postal, or business)

Contact number(s)

E-mail address/Fax number

WHICH PIECE OF INFORMATION WOULD YOU LIKE US TO CORRECT, DELETE, OR DESTROY?

--

WHY ARE YOU MAKING THIS REQUEST?

--

Date _____

Signed at _____

Signature of the data subject or designated person